

UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
EN998073

Total Pages in this Submission
3

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

ON-CHIP DYNAMIC BUFFER LEVEL INDICATORS FOR DIGITAL VIDEO ENCODER

and invented by:

Greenfield et al.

jc549 U.S. PTO
09/186584

11/03/98

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

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Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 35 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets Six (6)
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL172580727US

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Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

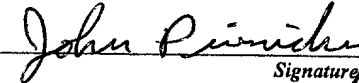
16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	31	- 20 =	11	x \$22.00	\$242.00
Indep. Claims	4	- 3 =	1	x \$82.00	\$82.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$790.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,114.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 09-0457 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$1,114.00 as filing fee.
 - ☒ Credit any overpayment.
 - ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
 - ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

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Dated: 11/04/98

CC: RECORDS

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IBM Docket No. EN998073

UNITED STATES LETTERS PATENT

INTERNATIONAL BUSINESS MACHINES CORPORATION

**ON-CHIP DYNAMIC BUFFER LEVEL
INDICATORS FOR DIGITAL VIDEO ENCODER**

Cross-Reference to Related Patent

This application relates to the following
5 commonly assigned United States Letters Patent:

United States Patent No. 5,760,836, issued June
2, 1998, by Greenfield et al., and entitled "FIFO
FEEDBACK AND CONTROL FOR DIGITAL VIDEO ENCODER."

This patent is hereby incorporated herein by
10 reference in its entirety.

Technical Field

This invention relates to digital video
encoding, such as MPEG-2 compliant digital video
encoding and HDTV compliant encoding. More
15 particularly, the invention relates to monitoring of
the encoder output buffer, such as a FIFO buffer,
field buffer or cascaded buffers, and providing from
the encoder to the host in real time a dynamic buffer
level indicator indicative of the fullness of the
20 external buffer.

Background of the Invention

One example of an emerging video compression
standard is the Moving Picture Expert's Group
("MPEG") standard. Within the MPEG standard, video
25 compression is defined both within a given picture,
i.e., spatial compression, and between pictures,

i.e., temporal compression. Video compression within a picture is accomplished by conversion of the digital image from the time domain to the frequency domain by a discrete cosine transform, quantization, variable length coding, and Huffman coding (both collectively referred to as "run length coding"). Video compression between pictures is accomplished via a process referred to as "motion compensation" in which a motion vector is used to describe the translation of a set of picture elements (pels) from one picture to another.

For MPEG-2 video encoding, FIFOs are often used to capture video data which has been compressed to the MPEG-2 standard, that is digital video data that has been spatially and temporally compressed, as by discrete cosine transformation, quantization, variable length coding, Huffman coding, and motion estimation. In many applications, the FIFOs are unloaded "real time" for transmission of full motion video through a transmission medium. In certain applications, reading of data from the FIFOs may be required only after a predetermined amount of data is loaded into the FIFOs. In other MPEG-2 encoding applications, memory devices instead of FIFOs may be used to capture compressed video data. Some of these devices, such as field memories, do not provide any memory flags which would be useful in reading the memory device when the device is empty or in notifying a host of the memory status.

Disclosure of the Invention

This invention monitors the fullness of the external buffer and provides in real time a dynamic buffer level indicator indicative of the fullness of the external buffer. The buffer fullness may be represented by one or more of a BUFFER_EMPTY flag, BUFFER_ALMOST_FULL flag and BUFFER_FULL flag. The fullness of the external buffer can be significant for a number of reasons. For example, a host system may require that fullness of an external buffer reach a predefined threshold before reading data from the buffer. Further, the bitrate of a compressed digital video data stream, such as an MPEG-2 compliant data stream or HDTV compliant data stream, can be adjusted by varying the amount of quantization for the frequency coefficients in a macroblock after the discrete cosine transformation (DCT). The expedient wherein the quantization factor or stepsize is controlled solely as a function of the "fullness" of the external buffer is described in the MPEG-2 standard documentation. The scaling factor by which this is performed uniformly over a macroblock is referred to as a quantization factor or "stepsize". Therefore, by using a large stepsize more compression will result which reduces the compressed stream bitrate but also reduces picture quality. A small stepsize increases the bitrate and picture quality.

According to the present invention, a method and encoder apparatus are provided for encoding a digital video image stream. The encoding includes spatial compression of still images in the digital video image stream and temporal compression between the

still images. The spatial compression is carried out by converting a time domain image of a macroblock to a frequency domain image of the macroblock, taking the discrete cosine transform of the frequency domain image, transforming the discrete cosine transformed macroblock image by a quantization factor, and run length encoding the quantized discrete cosine transformed macroblock image. The temporal compression is carried out by reconstructing the run length encoded, quantized, discrete cosine transformed image of the macroblock, searching for a best match macroblock, and constructing a motion vector between them. This forms a bitstream for run length encoded, quantized, discrete cosine transformed macroblocks and of motion vectors. This bitstream is passed through an external buffer, such as a FIFO, to a transmission medium. The number of run length encoded bits is fed back to the encoder for monitoring of the buffer fullness and providing a host processor with a dynamic buffer level indicator in real time indicative of the fullness of the external buffer. The indicator from the encoder to the host system may include one or more of a BUFFER_EMPTY flag, BUFFER_ALMOST_FULL flag and BUFFER_FULL flag.

Advantageously, the method and encoder apparatus of the present invention provides a dynamic buffer level indicator to assist the host application and the control of reading compressed data from the external buffer coupled to the encoder. This buffer level indicator is dynamic in that the indicator is adjusted based on continuous real-time monitoring of the fullness of the external buffer. In one aspect,

a BUFFER_EMPTY flag, a BUFFER_ALMOST_FULL flag, and a
BUFFER_FULL flag are provided to assist the host
application in controlling reading of compressed data
from the encoder. This can be significant with field
5 memories or cascaded FIFOs or other memory devices.

Brief Description of the Drawings

The above-described objects, advantages and
features of the present invention, as well as others,
will be more readily understood from the following
10 detailed description of certain preferred embodiments
of the invention, when considered in conjunction with
the accompanying drawings in which:

Fig. 1 shows a flow diagram of a generalized
MPEG-2 compliant encoder 11, including a discrete
15 cosine transformer 21, a quantizer 23, a variable
length coder 25, an outlet FIFO buffer 51 with
feedback back to the quantizer 23, an inverse
quantizer 29, and inverse discrete cosine transformer
31, motion compensation 41, frame memory 42, and
20 motion estimation 43. The data paths include the
picture input 111, difference data 112, motion
vectors 113, the picture output 121, the feedback
picture for motion estimation and compensation 131,
and the motion compensated picture 101. This figure
25 has the assumptions that the i^{th} picture exists in
frame memory or frame store 42, and the $i+1^{\text{th}}$ picture
is being encoded with motion estimation.

Fig. 2 illustrates the I, P, and B pictures,
examples of their display and transmission orders,
30 and forward, and backward motion prediction.

Fig. 3 illustrates the search from the motion estimation block in the current frame or picture to the best matching block in a subsequent or previous frame or picture. Elements 211 and 211' represent the same location in both pictures.

Fig. 4 illustrates the movement of blocks in accordance with the motion vectors from their position in a previous picture to a new picture, and the previous picture's blocks adjusted after using motion vectors.

Fig. 5 shows one embodiment of encoder logic comprising a FIFO buffer monitoring and indicator system in accordance with the present invention.

Fig. 6 depicts an alternate embodiment of encoder logic comprising a field buffer monitoring and indicator system in accordance with the present invention.

Best Mode for Carrying Out the Invention

The invention relates to MPEG-2 and HDTV compliant encoders and encoding processes. The encoding functions performed by the encoder include data input, motion estimation, macroblock mode generation, data reconstruction, entropy coding, and data output. Motion estimation and compensation are the temporal compression functions. They are repetitive functions with high computational requirements, and they include intensive reconstructive processing such as inverse discrete

cosine transformation, inverse quantization, and motion compensation.

5 Motion compensation exploits temporal redundancy by dividing the current picture into blocks, for example, macroblocks, and then searching in previously transmitted pictures for a nearby block with similar content. Only the difference between the current block pels and the predicted block pels extracted from the reference picture is actually
10 compressed for transmission and thereafter transmitted.

15 The simplest method of motion compensation and prediction is to record the luminance and chrominance, i.e., intensity and color of every pixel in an "I" picture, then record changes of luminance and chrominance, i.e., intensity and color for every specific pixel in the subsequent picture. However, this is uneconomical in transmission medium bandwidth, memory, processor capacity, and processing
20 time because objects move between pictures, that is, pixel contents move from one location in one picture to a different location in a subsequent picture. A more advanced idea is to use a previous picture to predict where a block of pixels will be in a
25 subsequent picture or pictures, for example, with motion vectors, and to write the result as "predicted pictures" or "P" pictures. More particularly, this involves making a best estimate or prediction of where the pixels or macroblocks of pixels of the $i+1^{\text{th}}$
30 picture will be in the i^{th} picture. It is one step further to use both subsequent and previous pictures

to predict where a block of pixels will be in an intermediate or "B" picture.

To be noted is that the picture encoding order and the picture transmission order do not necessarily match the picture display order. See **Fig. 2**. For I-P-B systems the input picture transmission order is different from the encoding order and the input pictures must be temporarily stored until used for encoding. A buffer stores this input until it is used.

For purposes of illustration, a generalized flow chart of MPEG compliant encoding is shown in **Fig. 1**. In the flow chart the images of the i^{th} picture and the $i+1^{\text{th}}$ picture are processed to generate motion vectors. The motion vectors predict where a macroblock of pixels will be in a prior and/or subsequent picture. The use of the motion vectors instead of full images is a key aspect of temporal compression in the MPEG and HDTV standards. As shown in **Fig. 1** the motion vectors, once generated, are used for the translation of the macroblocks of pixels from the i^{th} picture to the $i+1^{\text{th}}$ picture.

As shown in **Fig. 1**, in the encoding process, the images of the i^{th} picture and the $i+1^{\text{th}}$ picture are processed in the encoder 11 to generate motion vectors which are the form in which, for example, the $i+1^{\text{th}}$ and subsequent pictures are encoded and transmitted. An input image of a subsequent picture goes to the Motion Estimation unit 43 of the encoder. Motion vectors 113 are formed as the output of the Motion Estimation unit 43. These vectors are used by

the Motion Compensation Unit 41 to retrieve
macroblock data from previous and/or future pictures,
referred to as "reference" data, for output by this
unit. One output of the Motion Compensation Unit 41
5 is negatively summed with the output from the Motion
Estimation unit 43 and goes to the input of the
Discrete Cosine Transformer 21. The output of the
Discrete Cosine Transformer 21 is quantized in a
Quantizer 23. The output of the Quantizer 23 is
10 split into two outputs, 121 and 131; one output 121
goes to a downstream element 25 for further
compression and processing before transmission, such
as to a run length encoder; the other output 131 goes
through reconstruction of the encoded macroblock of
15 pixels for storage in Frame Memory 42. In the
encoder shown for purposes of illustration, this
second output 131 goes through an inverse
quantization 29 and an inverse discrete cosine
transform 31 to return a lossy version of the
20 difference macroblock. This data is summed with the
output of the Motion Compensation unit 41 and returns
a lossy version of the original picture to the Frame
Memory 42.

As shown in **Fig. 2**, there are three types of
25 pictures. There are "Intra pictures" or "I" pictures
which are encoded and transmitted whole, and do not
require motion vectors to be defined. These "I"
pictures serve as a source of motion vectors. There
are "Predicted pictures" or "P" pictures which are
30 formed by motion vectors from a previous picture and
can serve as a source of motion vectors for further
pictures. Finally, there are "Bidirectional
pictures" or "B" pictures which are formed by motion

vectors from two other pictures, one past and one future, and can not serve as a source of motion vectors. Motion vectors are generated from "I" and "P" pictures and are used to form "P" and "B" pictures.

One method by which motion estimation is carried out, shown in **Fig. 3**, is by a search from a macroblock 211 of an $i+1^{\text{th}}$ picture throughout a region of the previous picture to find the best match macroblock 213 (211' is the same location as 211 but in the previous picture). Translating the macroblocks in this way yields a pattern of macroblocks for the $i+1^{\text{th}}$ picture as shown in **Fig. 4**. In this way, the i^{th} picture is changed a small amount, e.g., by motion vectors and difference data to generate the $i+1^{\text{th}}$ picture. What is encoded are the motion vectors and difference data and not the $i+1^{\text{th}}$ picture itself. Motion vectors translate position of an image from picture to picture, while difference data carries changes in chrominance, luminance and saturation, that is, changes in color and brightener.

Returning to **Fig. 3**, we look for a good match by starting from the same location in the i^{th} picture 211' as in the $i+1^{\text{th}}$ picture. A search window is created in the i^{th} picture. We search for a best match within this search window. Once found, the best match motion vectors for the macroblock are coded. The coding of the best match macroblock includes a motion vector, that is, how many pixels in the y direction and how many pixels in the x direction is the best match displaced in the next

picture. Also encoded is difference data, referred to as the "prediction error", which is the difference in chrominance and luminance between the current macroblock and the best match reference macroblock.

5 Even with spatial and temporal compression, the
bitrate of the compressed digital video data stream
is still very high. The bitrate of a compressed
digital video data stream, as an MPEG-2 compliant
data stream or an HDTV compliant data stream, can be
10 adjusted by varying the amount of quantization for
the frequency coefficients in a macroblock after the
discrete cosine transformation (DCT). The scaling
factor or quantization factor by which this is
performed uniformly over a macroblock is referred to
15 as "stepsize". Therefore, by using a large stepsize
more compression will result which reduces the
compressed stream bitrate but also reduces picture
quality. Conversely, a small stepsize increases the
bitrate and picture quality.

20 The stepsize adjustment can be based on many
other encoding parameters besides and/or in addition
to the measured output FIFO buffer fullness. One
such factor in the calculation of stepsize or
quantization factor is the difference between the
25 allocated bit budget and the actual number of bits
previously used to encode the bitstream. The number
of bits used to encode the bitstream (E) is provided
as feedback to a processor performing the stepsize
calculation used for quantization. The bitrate
30 feedback is provided from a Variable Length Encoder
(VLE) and Header Generation Unit (HDU) which are used
to assemble the MPEG-2 bitstream.

Another factor which can be used to adjust the stepsize is the fullness of the external buffer, which in real time encoding systems is typically an external FIFO device. By monitoring the amount of data read (R) from the FIFOs and data used to encode the bitstream (E) the bitrate can be adjusted to prevent overflow of the external buffers 51 (**Fig. 1**) in a normal operating environment.

To accomplish this, a read line (FIFO_RD) from the FIFOs is provided, for example, to a special pin on the video encoder module. Each time that the FIFOs are read an on-chip counter is incremented. A FIFO configuration register is also provided containing information about the external FIFO configuration. The width (w) of the FIFO configuration is set in this register to 1, 2, 4, 8 or more bytes depending upon the application. The depth (d) of the external FIFO (in units of 1 k) is also provided in the configuration register. Using the read count (c) in the on-chip counter and FIFO width the number of bits read by the host (R) can be calculated:

$$R = (c \cdot w) \cdot 8$$

where

R = number of bits read by the host,
c = read count in the on-chip counter, and
w = width of the memory.

Both the counter and the FIFO configuration register can be read in one embodiment using microcode. The microcode can then perform the R calculation.

In parallel, microcode can also monitor the number of bits encoded (E) and then subtract the amount of data read by the host (R). The result of this calculation (E-R) will determine the fullness of the external buffer (BF):

$$BF = E - R$$

Based on the depth (d) of the FIFO and system characteristics, an experimental limit (L) can be calculated and used to determine the point at which the bitrate should be adjusted to avoid buffer overrun. If $BF > L$ then the stepsize will be increased allowing less bits for encoding. This will be monitored until the bitrate is adjusted such that $BF < L$. If an overrun does occur, a FIFO reset signal called FIFO_RST can be pulsed low to reset the external FIFOs. A FIFO reset is issued at the start of the next encoding picture and the FIFO writing process can then be restarted. The FIFO_RST signal is controlled with microcode and is set when microcode detects the overrun condition:

$$BF > (d \cdot 1024) \cdot (w \cdot 8).$$

The amount of time that bitrate is adjusted can be changed using microcode depending upon the application. For the best adjustment, this should be monitored every macroblock but this requires more calculations for every macroblock. However, because of code flexibility, this can be reduced to multiple macroblocks or slices if required. A reset on read function for the FIFO counter can also be provided so that code does not have to reset the counter after

every read. This reduces the amount of instructions needed to monitor the external buffer fullness.

Using the existing structure, this invention can also be modified to provide an indication to the host that the FIFO buffer has enough data present to begin reading. This is useful for applications where a block read of data to the host is required. A FIFO threshold register which contains the number of bytes required to be stored in the FIFO prior to a read by the application is set through the host interface. The microcode can then read this register and compare it with the buffer fullness in bytes. If buffer fullness is greater than the number of bytes in the FIFO threshold register, then a signal called FIFO_BUFL (FIFO Buffer Level) will be pulsed high indicating that the FIFO is ready to be read by the host.

One disadvantage of the above-summarized processing is that it is implemented in microcode, and therefore, buffer fullness is not constantly monitored. Without a real time view of the fullness of the buffer, the host processor must wait until the microcode goes in, polls the on-chip register and calculates the fullness of the FIFO. This creates a latency issue which produces an inherent inaccuracy in the FIFO fullness reading. This invention provides a solution by implementing FIFO monitoring and a dynamic FIFO buffer level indicator in hardware inside the digital video encoder for interfacing, for example, to the industry standard FIFO buffer or cascaded FIFO buffers. In addition, this logic circuitry is programmable for various FIFO

configurations. By implementing the invention in hardware, a real time buffer fullness level indication is possible.

In other MPEG-2 encoding applications, memory devices instead of FIFOs are used to capture compressed video data. Some of these devices, such as field memories, do not provide EMPTY, ALMOST_FULL, and FULL flags, which may be standard on other memory types. Further, if the external memory comprises cascaded FIFOs, then any flags on the FIFOs themselves are unusable due to the cascaded architecture. The EMPTY, ALMOST_FULL, and FULL flags are useful to avoid reading the memory devices when they are empty, and to notify the host when the buffer is full or almost full. In accordance with the present invention, the flags are generated in real time on-chip, for example, using hardware logic to monitor the fullness of the external memory buffer and to signal to the host controller when the buffer is empty, almost full or full. In addition, this logic circuitry is also preferably programmable for various memory configurations.

One embodiment of encoder logic in accordance with this invention, adapted to monitor fullness of an external FIFO buffer, is presented in **Fig. 5**. As shown, an MPEG-2 video encoder 200 provides a compressed data bitstream to an external FIFO buffer 201. A host processor (not shown) reads 16 bits of data from the external FIFO buffer with each FIFO read signal (FIFO_RD) from the host. The MPEG-2 video bitstream is provided to the FIFO 201 at the rate of 16 bits per write enable signal (FWE). By

monitoring the write signal (FWE) to the external
FIFO buffer, the number of encoded bytes (E) written
to the external buffer can be counted. In addition,
by monitoring the FIFO read line (FIFO_RD), the
5 number of bytes read by the host (R) can be readily
determined, e.g., at a FIFO fullness register 220.

Note that the example shown in **Fig. 5** is for a
2-byte (16 bit) configuration for both the read and
write. However, if for example the external FIFO
10 buffer were designed for another data width, then the
FIFO buffer configuration register 210 could be
programmed to this different configuration. By way
of example, FIFO buffer reads of 1, 2, 4 or 8 bytes
may be employed. The width of the compressed data
15 bus, which determines the number of encoded bytes
(E), is based on the design of the encoder 200.

In order to determine the fullness of the FIFO
buffer, the FIFO fullness register (FF) 220 is
continuously updated to dynamically reflect the
20 fullness of the FIFO buffers. This is done by
updating the FIFO fullness register 220 per the
following equation every cycle:

$$FF = FF + E - R$$

For instance, if there is a read (R) of the FIFO,
25 then FF will be decremented based on the FIFO output
width. A write to the FIFO (E) will cause FF to be
incremented by the encoder output width. If both a
write (E) and read (R) happen in the same cycle, then
FF will be changed by the factor E - R. In the
30 example shown, both E and R equal two bytes so if

both a read and write occur in the same cycle, then the fullness register remains unchanged.

The FIFO fullness register is used to generate a real time FIFO buffer level indicator which is provided to the host. The FIFO buffer level indicator is dynamically changed by comparing at logic 240 the value of the FIFO fullness register (FF) versus a valued stored in the FIFO threshold register (FT). While $FT > FF$, a 0 signal is sent to the host indicating that the FIFOs are not filled to the desired level. However, if $FF \geq FT$, then a high-level signal is sent to the host indicating that the FIFOs have reached and/or exceeded the threshold. The host provides the FIFO buffer threshold (FT) to FIFO threshold register 250 and may program a different threshold (FT) for different applications.

As an additional feature, the encoder logic is preferably provided with error correction in accordance with the present invention to avoid error conditions. For example, if there is a FIFO read when the FF register is 0, then the read is preferably ignored and the FF register is not decremented. In addition, if the FF register is at the maximum value, and it receives another write then the write will be ignored and the FF register will not be incremented. Both of these error conditions are noted to avoid wrapping in the FF register. The size of the FF register should be made large enough to avoid this condition.

In another aspect, this invention comprises providing logic on the encoder to generate

BUFFER_EMPTY, BUFFER_ALMOST_FULL, and BUFFER_FULL flags for non-FIFO memory devices, such as field memory, or for cascaded FIFO devices. A functional overview of this logic is depicted in **Fig. 6**, wherein
 5 an MPEG-2 video encoder 300 again feeds compressed data in 16-bit format to an external buffer 301, which in this example comprises 16 bit field memory. The MPEG-2 video bitstream is provided to the external memory buffer 301 at a rate of 16 bits per
 10 buffer write enable (BWE).

By monitoring the write signal to the buffer (BWE), the number of encoded bytes (E) written to external memory can be counted. In addition, by monitoring the buffer read line (BUFFER_RD) for a
 15 buffer read signal from the host processor, the number of bytes read (B) by the host can be determined. Again, programmability is provided through use of a buffer configuration register 310 for specifying whether the host processor is reading
 20 1, 2, 4 or 8 bytes per read signal. The example shown in **Fig. 6** is for a 2-byte (16 bit) configuration for both the read and write operations. However, if the external memory buffer 301 were designed for a different read data width, then the
 25 buffer configuration register 310 would be programmed to account for this different width. This allows the logic of **Fig. 6** to be used in various applications. The width of the compressed data bus, which determines the number of encoded bytes (E) is based
 30 on the design of the encoder. The number of encoded bytes (E) is provided by the MPEG-2 video bitstream 330 to a buffer fullness register (BF) 320, along with the number of bytes read (B) by the host.

In order to determine the fullness of the buffer, the buffer fullness register (BF) 320 is constantly updated to reflect the fullness of the memory buffer on a dynamic basis. This is done by
5 updating the buffer fullness register per the following equation every cycle:

$$BF = BF + E - B.$$

Therefore, if there is a read (B) of the buffer, BF will be decremented based on the buffer output width.
10 A write to the external buffer (E) will cause BF to be incremented by the encoder output width. If both a write (E) and read (B) happen in the same cycle, then the buffer fullness (BF) will be changed by the factor E - B. In the example shown, the number of
15 written bytes (E) and number of read bytes (B) both equal 2 bytes so that if both a read and write occur in the same cycle, the fullness of the register is unchanged.

A BUFFER_EMPTY flag is dynamically provided by
20 comparing the value of the buffer fullness register 320 to 0 in logic 340. If the buffer fullness is equal to 0, then the BUFFER_EMPTY flag to the host is set high, indicating that the memory buffer is empty. When $BF > 0$, the BUFFER_EMPTY flag is low.

25 A BUFFER_ALMOST_FULL flag is dynamically set by logic 350, which compares the value of the buffer fullness register 320 versus a value in a buffer threshold register (BT) 360. The buffer threshold register contains the threshold value in bytes
30 provided by the host processor. If $BF \geq BT$, then the

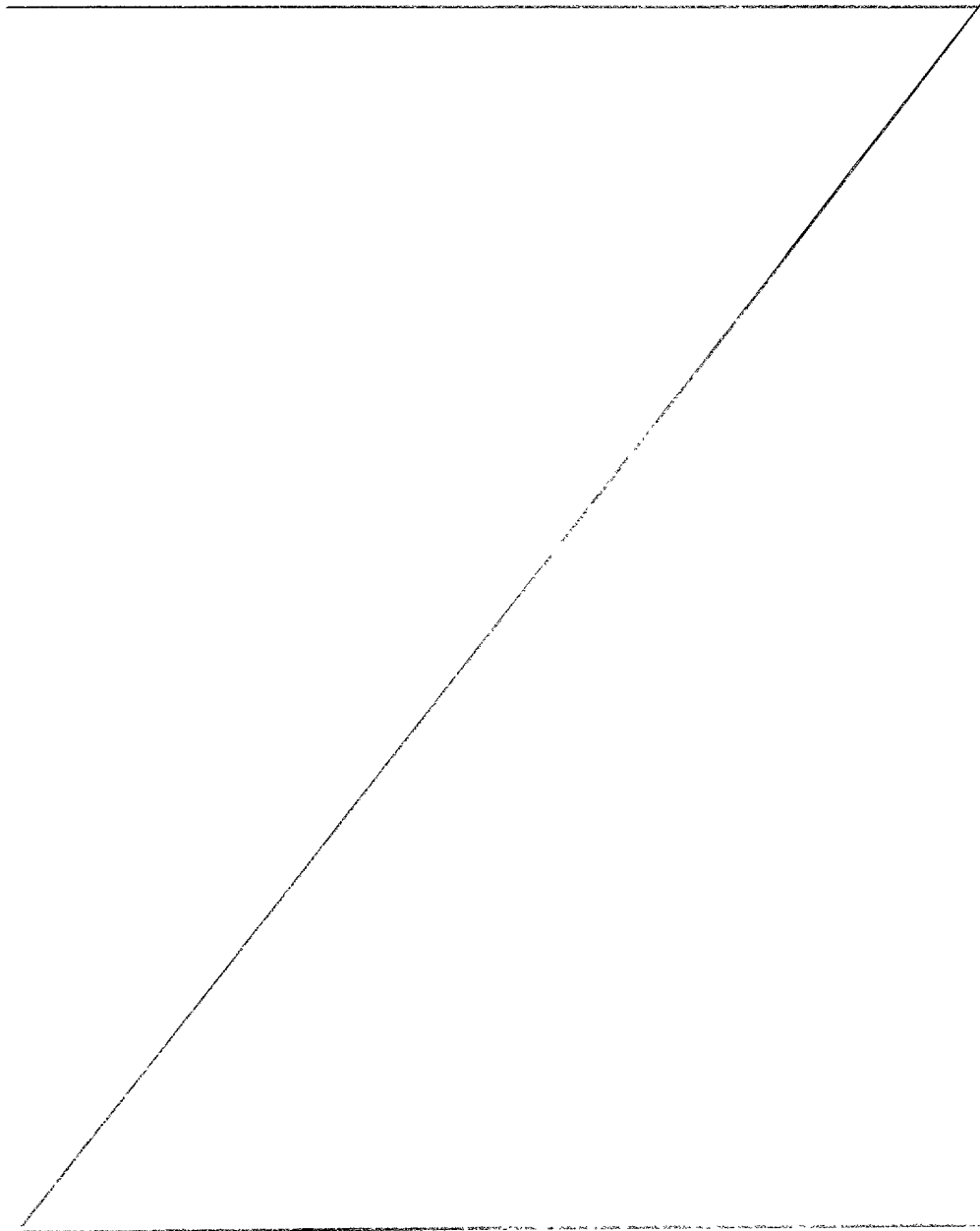
BUFFER_ALMOST_FULL flag is set high, indicating, for example, that the memory buffer is almost full. The threshold for the almost full condition can be changed by reprogramming the buffer threshold
5 register 360 through the host. If $BT > BF$, the BUFFER_ALMOST_FULL flag is low.

A BUFFER_FULL flag is dynamically changed by logic 370 by comparing the value of the buffer fullness register 320 versus the buffer size register
10 (BS) 380. If $BF \geq BS$, then the BUFFER_FULL flag is set high, indicating to the host that the memory buffer is full. Again, the size of the buffer can be changed for different applications by reprogramming the buffer size register 380 through the host. If
15 $BS > BF$, then the BUFFER_FULL flag is low.

In addition to the above aspects of the logic of **Fig. 6**, error correction is preferably provided in accordance with the present invention to avoid certain error conditions. For example, if there is a
20 buffer read when the buffer fullness (BF) register is 0, then the read is preferably ignored and the BF register is not decremented. In addition, if the buffer fullness register 320 is at a maximum value, and it receives another write signal, then the write
25 signal is preferably ignored and the BF register will not be incremented. Both of these error conditions are preferably provided to avoid wrapping in the buffer fullness register. Note that the size of the buffer fullness register should be made large enough
30 to avoid this condition.

While the invention has been described in detail herein in accordance with certain preferred embodiments thereof, many modifications and changes therein may be effected by those skilled in the art.

5 Accordingly, it is intended by the appended claims to cover all such modifications and changes as fall within the true spirit and scope of the invention.



Claims

1 1. In a method of encoding a digital video
2 image stream in an encoder, comprising spatial
3 compression of still images in the digital video
4 image stream and temporal compression between the
5 still images, wherein the spatial compression is
6 carried out by converting a time domain image of a
7 macroblock to a frequency domain image of the
8 macroblock, taking the discrete cosine transform of
9 the frequency domain image, transforming the discrete
10 cosine transformed macroblock image by a quantization
11 factor, and run length encoding the quantized
12 discrete cosine transformed macroblock image, wherein
13 the temporal compression is carried out by
14 reconstructing the run length encoded, quantized,
15 discrete cosine transformed image of the macroblock,
16 searching for a best match macroblock, and
17 constructing a motion vector therebetween, to thereby
18 form a bitstream comprising run length encoded,
19 quantized, discrete cosine transformed macroblocks
20 and motion vectors, and passing the bitstream to and
21 through an external buffer to a transmission medium,
22 the improvement comprising feeding back to the
23 encoder an external buffer read signal from the host
24 and incrementing an on-chip counter each time that
25 the external buffer is read and calculating therefrom
26 the number of bits read by the host (R), and
27 determining the number of bits encoded and written
28 into the external buffer (E), and in the encoder
29 subtracting from the number of bits encoded (E) the
30 number of bits read by the host (R) to give the
31 fullness of the external buffer (BF), and providing
32 from the encoder to the host a dynamic buffer level

33 indicator in real time indicative of the fullness of
34 the external buffer (BF).

1 2. The method of claim 1, wherein said
2 providing the host with said dynamic buffer level
3 indicator comprises comparing the fullness of the
4 external buffer (BF) with a buffer threshold (BT)
5 defined by said host and providing a high-level
6 indicator when the buffer fullness (BF) is greater
7 than the buffer threshold (BT), and a low-level
8 indicator when the buffer threshold (BT) is greater
9 than the buffer fullness (BF).

1 3. The method of claim 2, further comprising
2 retaining said buffer threshold (BT) in a register
3 within the encoder for use in said comparing of
4 buffer fullness (BF) to the host-defined buffer
5 threshold (BT).

1 4. The method of claim 1, further comprising
2 providing an external buffer configuration register
3 in said encoder for retaining multiple external
4 buffer configuration values, and wherein said
5 calculating in the encoder the number of bits read by
6 the host (R) includes employing a predefined
7 configuration value of the external buffer
8 configuration register in determining the number of
9 bits read by the host (R) upon receipt of each buffer
10 read signal from the host.

1 5. The method of claim 4, wherein said
2 multiple external buffer configuration values
3 retained in said external buffer configuration
4 register comprise at least some of 1, 2, 4 and 8 byte
5 buffer configuration values, each value being
6 representative of a number of bytes read from said
7 external buffer with each buffer read signal from the
8 host for a respective external buffer configuration.

1 6. The method of claim 1, wherein said
2 external buffer comprises a FIFO buffer and said
3 encoder comprises an MPEG-2 video encoder.

1 7. The method of claim 1, wherein said
2 external buffer comprises one of a field buffer or
3 cascaded FIFO buffers, and wherein said dynamic
4 buffer level indicator comprises at least one of a
5 BUFFER_EMPTY flag, BUFFER_ALMOST_FULL flag and
6 BUFFER_FULL flag.

1 8. The method of claim 1, wherein said
2 providing the host in real time with said dynamic
3 buffer level indicator comprises providing the host
4 in real time with multiple dynamically updated flags,
5 said multiple dynamically updated flags comprising a
6 BUFFER_EMPTY flag, BUFFER_ALMOST_FULL flag and
7 BUFFER_FULL flag.

1 9. In a method of encoding a digital video
2 image stream in an encoder, comprising spatial
3 compression of still images in the digital video
4 image stream and temporal compression between the
5 still images, wherein the spatial compression is
6 carried out by converting a time domain image of a
7 macroblock to a frequency domain image of the
8 macroblock, taking the discrete cosine transform of
9 the frequency domain image, transforming the discrete
10 cosine transformed macroblock image by a quantization
11 factor, and run length encoding the quantized
12 discrete cosine transformed macroblock image, wherein
13 the temporal compression is carried out by
14 reconstructing the run length encoded, quantized,
15 discrete cosine transformed image of the macroblock,
16 searching for a best match macroblock, and
17 constructing a motion vector therebetween, to thereby
18 form a bitstream comprising run length encoded,
19 quantized, discrete cosine transformed macroblocks
20 and motion vectors, and passing the bitstream to and
21 through an external buffer to a transmission medium,
22 the improvement comprising feeding back to the
23 encoder an external read signal from the host and
24 incrementing an on-chip counter each time that the
25 external buffer is read and calculating therefrom the
26 number of bits read by the host (R), and determining
27 the number of bits encoded and written into the
28 external buffer (E), and in the encoder subtracting
29 from the number of bits encoded (E) the number of
30 bits read by the host (R) to give the fullness of the
31 external buffer (BF), and providing from the encoder
32 to the host in real time a dynamically updated flag
33 comprising at least one of a BUFFER_EMPTY flag, a
34 BUFFER_ALMOST_FULL flag and a BUFFER_FULL flag.

1 10. The method of claim 9, wherein said
2 providing the host in real time with said dynamically
3 updated flag comprises providing the host in real
4 time with at least said BUFFER_EMPTY flag, said
5 providing of said BUFFER_EMPTY flag comprising
6 continuously determining whether said fullness of the
7 external buffer (BF) is equal to 0, and providing a
8 high-level indicator when the buffer fullness (BF) is
9 0, and a low-level indicator when the buffer fullness
10 is greater than 0.

1 11. The method of claim 9, wherein said
2 providing the host in real time with said dynamically
3 updated flag comprises providing the host with at
4 least said BUFFER_ALMOST_FULL flag, said providing of
5 said BUFFER_ALMOST_FULL flag comprising continuously
6 determining whether the fullness of the external
7 buffer (BF) is greater than or equal to a buffer
8 threshold (BT), and providing said host with a high-
9 level indicator when the buffer fullness (BF) is
10 greater than or equal to said buffer threshold (BT),
11 and a low-level indicator when the buffer threshold
12 (BT) is greater than the buffer fullness (BF).

1 12. The method of claim 11, further comprising
2 providing an on-chip buffer threshold register, said
3 on-chip buffer threshold register containing a host
4 defined buffer threshold value for use in said
5 comparing of said buffer fullness (BF) to said buffer
6 threshold (BT).

1 13. The method of claim 9, wherein said
2 providing the host in real time with said dynamically
3 updated flag comprises providing the host in real
4 time with at least said BUFFER_FULL flag, said
5 providing of said BUFFER_FULL flag, comprising
6 continuously comparing the fullness of the external
7 buffer (BF) to a predefined buffer size (BS), and
8 providing the host with a high-level indicator when
9 the buffer fullness (BF) is greater than or equal to
10 said buffer size (BS), and a low-level indicator when
11 said buffer size (BS) is greater than said buffer
12 fullness (BF).

1 14. The method of claim 13, further comprising
2 providing an on-chip buffer size register for holding
3 a host-defined buffer size value for use in said
4 comparing of said buffer fullness (BF) to said buffer
5 size (BS).

1 15. The method of claim 9, wherein said
2 external buffer comprises one of an external field
3 buffer or external cascaded FIFOs.

1 16. An encoder for encoding a digital video
2 image stream in the encoder, comprising means for
3 spatial compression of still images in the digital
4 video image stream and means for temporal compression
5 between the still images, wherein the means for
6 spatial compression comprises means for converting a
7 time domain image of a macroblock to a frequency
8 domain image of the macroblock, means for taking the
9 discrete cosine transform of the frequency domain
10 image, means for transforming the discrete cosine
11 transformed macroblock image by a quantization
12 factor, and means for run length encoding the
13 quantized discrete cosine transformed macroblock
14 image, wherein the means for temporal compression
15 comprises means for reconstructing the run length
16 encoded, quantized, discrete cosine transformed image
17 of the macroblock, means for searching for a best
18 match macroblock, and means for constructing a motion
19 vector therebetween, said means for encoding a
20 digital video image stream thereby forming a
21 bitstream comprising run length encoded, quantized,
22 discrete cosine transform macroblocks and motion
23 vectors and passing the bitstream to and through an
24 external buffer to a transmission medium, the
25 improvement comprising means for feeding back to the
26 encoder an external read signal from the host, and
27 logic in the encoder for incrementing an on-chip
28 counter each time that the external buffer is read
29 and calculating therefrom the number of bits read by
30 the host (R), said logic in the encoder being further
31 adapted to monitor the number of bits encoded (E) and
32 written into the external buffer and subtract from
33 the number of bits encoded (E) the number of bits
34 read by the host (R) to obtain the fullness of the

35 external buffer (BF), and wherein said logic in the
36 encoder is further adapted to provide the host with a
37 dynamic buffer level indicator in real time
38 indicative of the fullness of the external buffer
39 (BF).

1 17. The encoder of claim 16, wherein said logic
2 adapted to provide the host with a dynamic buffer
3 level indicator comprises logic adapted to compare
4 the fullness of the external buffer (BF) with a
5 buffer threshold (BT) defined by said host and to
6 provide a high-level indicator when the buffer
7 fullness (BF) is greater than the buffer threshold
8 (BT), and a low-level indicator when the buffer
9 threshold (BT) is greater than the buffer fullness
10 (BF).

1 18. The encoder of claim 17, further comprising
2 a buffer threshold (BT) register within the encoder
3 coupled to said logic adapted to compare said buffer
4 fullness (BF) to the host-defined buffer threshold
5 (BT).

1 19. The encoder of claim 16, wherein said
2 external buffer comprises at least one FIFO buffer
3 and said encoder comprises an MPEG-2 video encoder.

1 20. The encoder of claim 16, wherein said
2 external buffer comprises one of a field buffer or
3 cascaded FIFO buffers, and wherein said dynamic
4 buffer level indicator comprises at least one of a
5 BUFFER_EMPTY flag, BUFFER_ALMOST_FULL flag and
6 BUFFER_FULL flag.

1 21. The encoder of claim 20, wherein said
2 dynamic buffer level indicator comprises said
3 BUFFER_EMPTY flag, and wherein said logic is further
4 adapted to continuously determine whether said
5 fullness of the external buffer (BF) is equal to 0,
6 and provide a high-level indicator when the buffer
7 fullness (BF) is 0, and a low-level indicator when
8 the buffer fullness is greater than 0.

1 22. The encoder of claim 20, wherein said
2 dynamic buffer level indicator comprises said
3 BUFFER_ALMOST_FULL flag, and wherein said logic is
4 further adapted to continuously determine whether the
5 fullness of the external buffer (BF) is greater than
6 or equal to a buffer threshold (BT), and to provide
7 said host with a high-level indicator when the buffer
8 fullness (BF) is greater than or equal to said buffer
9 threshold (BT), and a low-level indicator when the
10 buffer threshold (BT) is greater than the buffer
11 fullness (BF).

1 23. The encoder of claim 22, further comprising
2 an on-chip buffer threshold register, said on-chip
3 buffer threshold register containing a host defined
4 buffer threshold value for use by said logic in
5 comparing said buffer fullness (BF) to said buffer
6 threshold.

1 24. The encoder of claim 20, wherein said
2 dynamic buffer level indicator comprises said
3 BUFFER_FULL flag, and wherein said logic is adapted
4 to continuously compare the fullness of the external
5 buffer (BF) to a predefined buffer size (BS), and to
6 provide the host with a high-level indicator when the
7 buffer fullness (BF) is greater than or equal to said
8 buffer size (BS), and a low-level indicator when said
9 buffer size (BS) is greater than said buffer fullness
10 (BF).

1 25. The encoder of claim 24, further comprising
2 an on-chip buffer size register within said encoder
3 for holding a host-defined buffer size value for use
4 by said encoder logic in comparing said buffer
5 fullness (BF) to said buffer size (BS).

1 26. The encoder of claim 20, wherein said
2 external buffer comprises one of an external field
3 buffer or external cascaded FIFOs.

1 27. An encoder for encoding a digital video
2 image stream in the encoder, comprising means for
3 spatial compression of still images in the digital
4 video image stream and means for temporal compression
5 between the still images, wherein the means for
6 spatial compression comprises means for converting a
7 time domain image of a macroblock to a frequency
8 domain image of the macroblock, means for taking the
9 discrete cosine transform of the frequency domain
10 image, means for transforming the discrete cosine
11 transformed macroblock image by a quantization
12 factor, and means for run length encoding the
13 quantized discrete cosine transformed macroblock
14 image, wherein the means for temporal compression
15 comprises means for reconstructing the run length
16 encoded, quantized, discrete cosine transformed image
17 of the macroblock, means for searching for a best
18 match macroblock, and means for constructing a motion
19 vector therebetween, said means for encoding a
20 digital video image stream thereby forming a
21 bitstream comprising run length encoded, quantized,
22 discrete cosine transform macroblocks and motion
23 vectors and passing the bitstream to and through an
24 external buffer to a transmission medium, the
25 improvement comprising means for feeding back to the
26 encoder an external read signal from the host, and
27 on-chip logic in the encoder for incrementing an on-
28 chip counter each time the external buffer is read
29 and calculating therefrom the number of bits read by
30 the host (R), said logic in the encoder being further
31 adapted to monitor the number of bits encoded (E) and
32 written into the external buffer and subtract from
33 the number of bits encoded (E) the number of bits
34 read by the host (R) to obtain the fullness of the

35 external buffer (BF), and wherein said logic in the
36 encoder is further adapted to provide the host in
37 real time with dynamically updated flags comprising a
38 BUFFER_EMPTY flag, a BUFFER_ALMOST_FULL flag and a
39 BUFFER_FULL flag.

1 28. The encoder of claim 27, wherein said
2 external buffer comprises one of an external field
3 buffer or external cascaded FIFOs.

1 29. The encoder of claim 28, wherein said logic
2 adapted to provide said BUFFER_EMPTY flag comprises
3 logic adapted to continuously determine whether said
4 fullness of the external buffer (BF) is equal to 0,
5 and to provide a high-level indicator when the buffer
6 fullness (BF) is 0, and a low-level indicator when
7 the buffer fullness is greater than 0.

1 30. The encoder of claim 29, wherein said logic
2 adapted to provide said BUFFER_ALMOST_FULL flag
3 comprises logic adapted to continuously determine
4 whether the fullness of the external buffer (BF) is
5 greater than or equal to a buffer threshold (BT), and
6 to provide said host with a high-level indicator when
7 the buffer fullness (BF) is greater than or equal to
8 said buffer threshold (BT), and a low-level indicator
9 when the buffer threshold (BT) is greater than the
10 buffer fullness (BF).

1 31. The encoder of claim 30, wherein said logic
2 adapted to provide said BUFFER_FULL flag comprises
3 logic adapted to continuously compare the fullness of
4 the external buffer (BF) to a predefined buffer size
5 (BS), and to provide the host with a high-level
6 indicator when the buffer fullness (BF) is greater
7 than or equal to said buffer size (BS), and a low-
8 level indicator when said buffer size (BS) is greater
9 than said buffer fullness (BF).

* * * * *

**ON-CHIP DYNAMIC BUFFER LEVEL
INDICATORS FOR DIGITAL VIDEO ENCODER**

Abstract of the Disclosure

Method and encoder for encoding a digital video
5 image stream. The encoding includes spatial
compression of still images in the video stream and
temporal compression between the still images. The
spatial compression is carried out by converting a
time domain image of a macroblock to a frequency
10 domain image of the macroblock, taking the discrete
cosine transform of the frequency domain image,
transforming the discrete cosine transformed
macroblock image by a quantization factor, and run
length encoding the quantized discrete cosine
15 transformed macroblock image. The temporal
compression is carried out by reconstructing the run
length encoded, quantized, discrete cosine
transformed image of the macroblock, searching for a
best match macroblock, and constructing a motion
20 vector between them. This forms a bitstream of run
length encoded, quantized, discrete cosine transform
macroblocks and of motion vectors. This bitstream is
passed to and through an external buffer to a
transmission medium. The number encoded bits read by
25 a host from the external buffer is fed back to the
encoder for calculation in real time of a dynamic
buffer level indicator indicative of the fullness of
the external buffer. The encoder may generate a
BUFFER_EMPTY flag, BUFFER_ALMOST_FULL flag and/or
30 BUFFER_FULL flag for the host.

2000 04 28 14:53:53

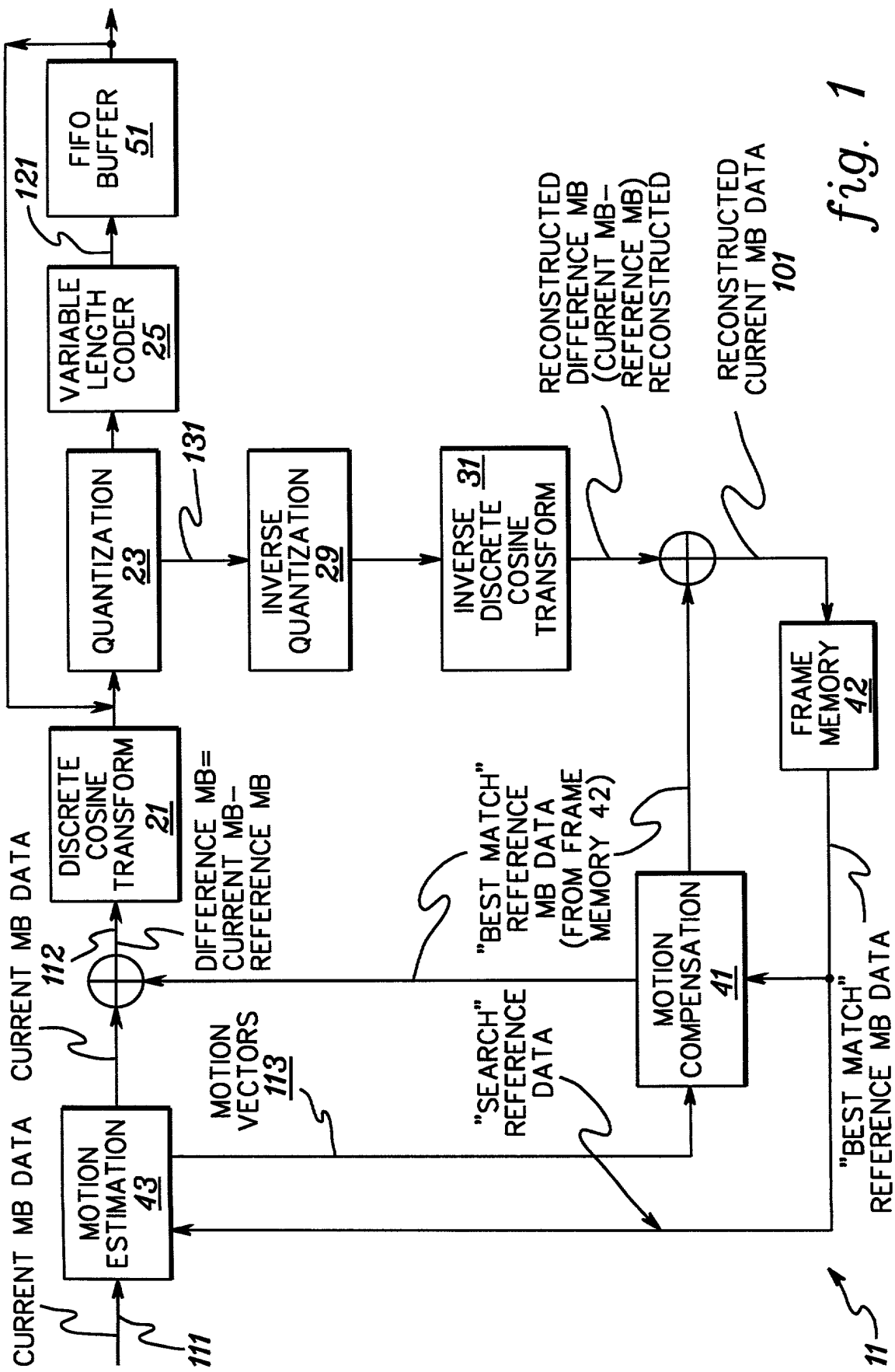
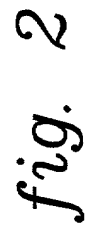


fig. 1



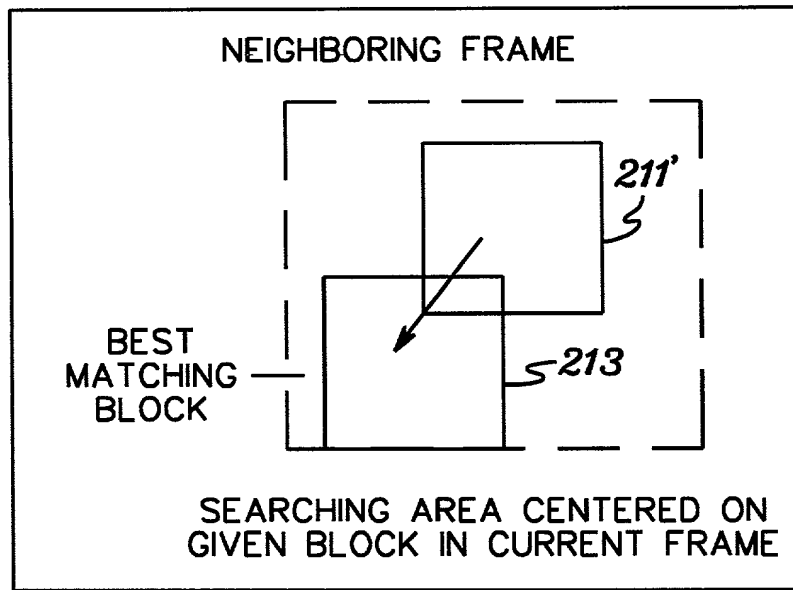
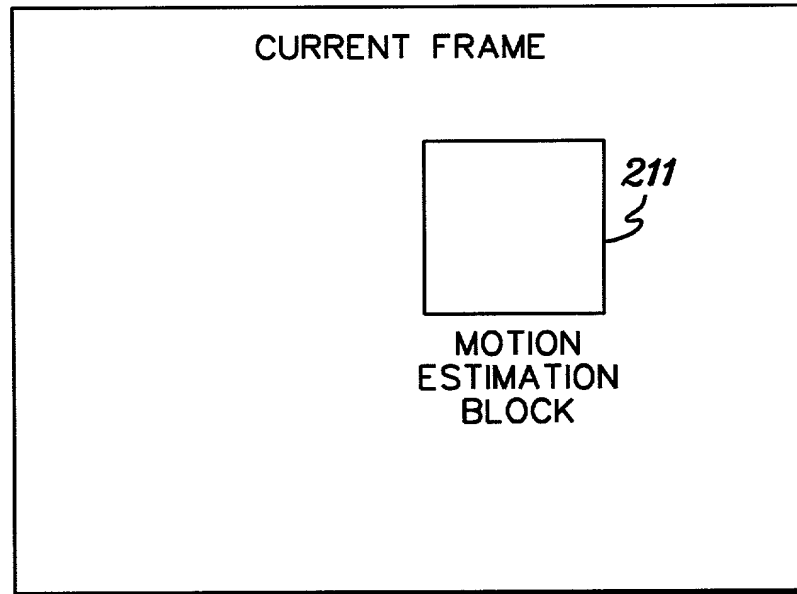
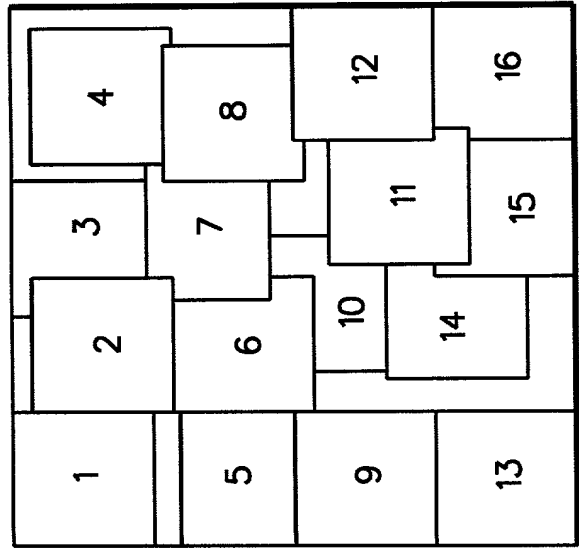
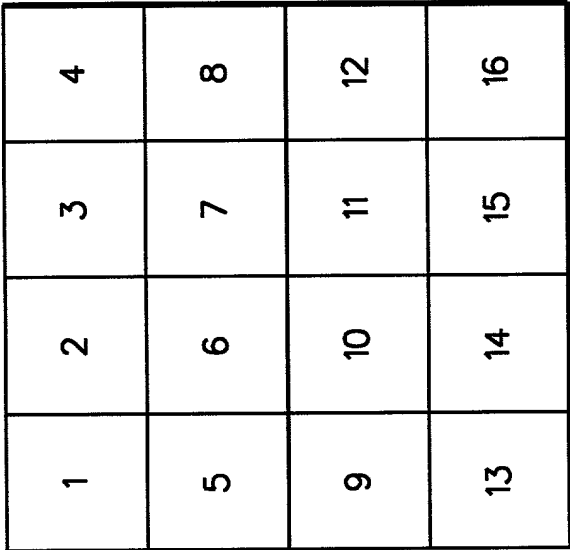


fig. 3



BLOCKS OF PREVIOUS PICTURE
USED TO PREDICT CURRENT PICTURE



CURRENT PICTURE AFTER USING
MOTION VECTORS TO ADJUST
PREVIOUS PICTURE BLOCK POSITIONS

fig. 4

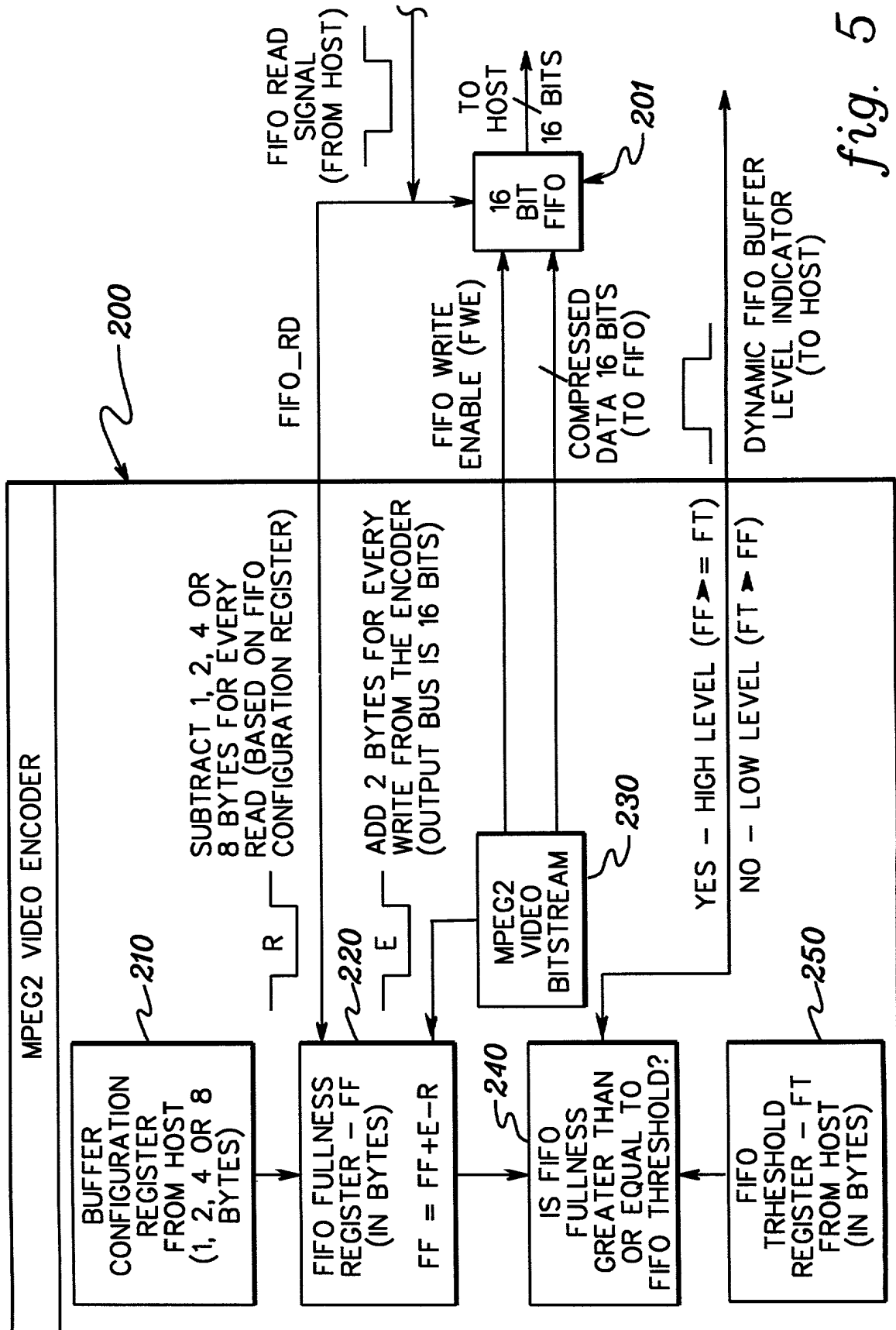
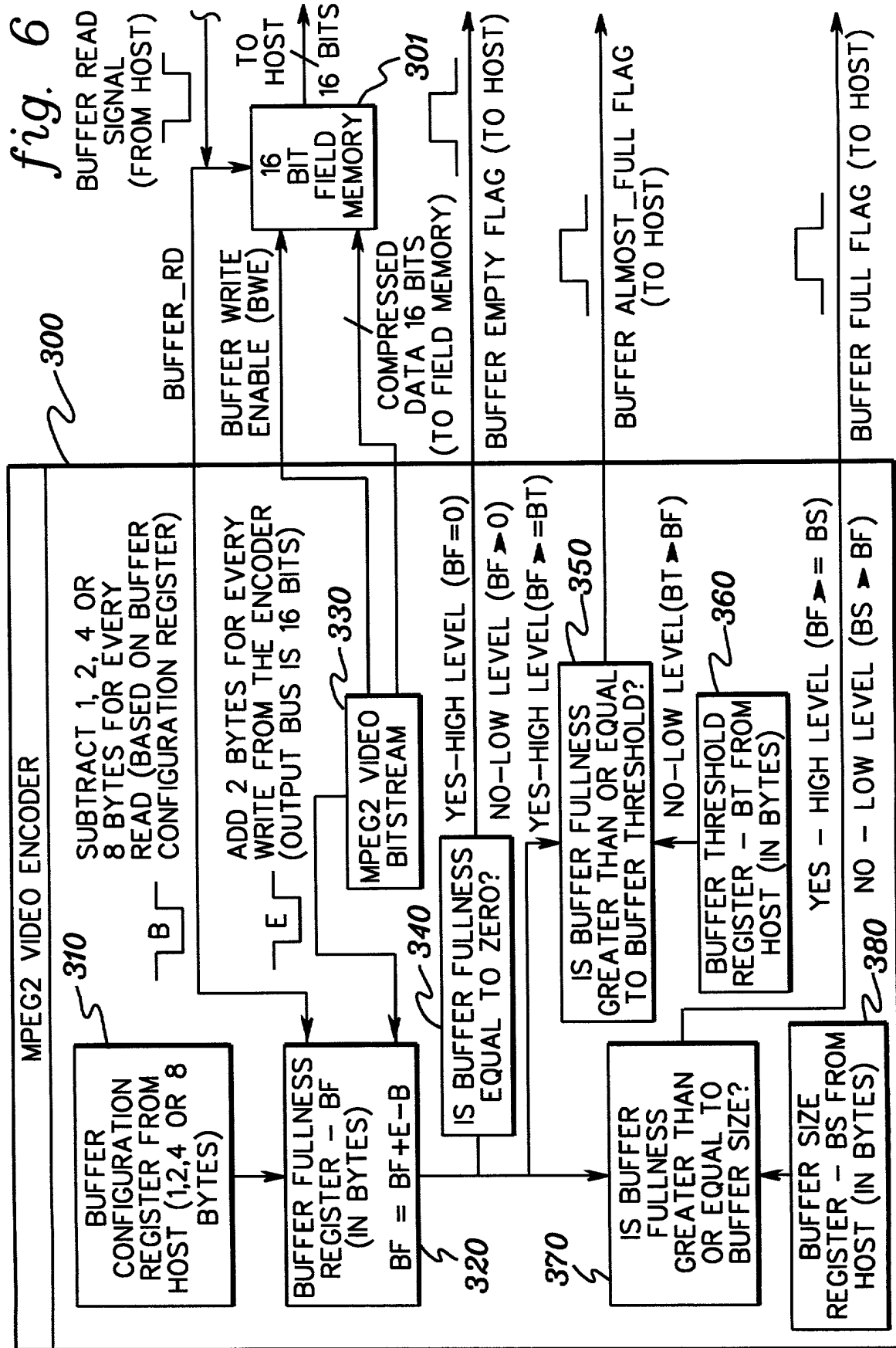


fig. 5



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ON-CHIP DYNAMIC BUFFER LEVEL INDICATORS FOR DIGITAL VIDEO ENCODER

the specification of which (check one)

 X is attached hereto.

 was filed on as United States
Application Number or PCT International Application
Number and was amended on
 .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Claimed

<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<u> </u> Yes	<u> </u> No
<u> </u> (Number)	<u> </u> (Country)	<u> </u> (Day/Month/Year Filed)	<u> </u> Yes	<u> </u> No

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

<u> </u> (Application Number)	<u> </u> (Filing Date)
<u> </u> (Application Number)	<u> </u> (Filing Date)

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 CFR §1.56(a) which occurred between the filing date of the prior application and the national or PCT International filing date of this application:

(Appl. Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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(Appl. Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

ADDED PAGE(S) TO COMBINED DECLARATION AND POWER OF ATTORNEY
FOR SIGNATURE BY FIRST AND SUBSEQUENT INVENTORS

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Full Name of second joint inventor: **John M. Kaczmarczyk**

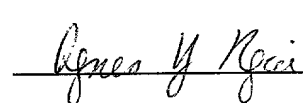
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Full Name of fourth joint inventor:

Signature: _____ Date: _____

Residence:

Citizenship:

Post Office Address: